

021-16-021 IFns

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Atsushi Miyake et al.

Confirmation No.: 1199

Serial No.:

10/084,785

Examiner: D. Allen

Filing Date:

February 25, 2002

Group Art Unit: 2872

Docket No.:

1018.1132101

Customer No.: 28075

For:

METHOD AND APPARATUS FOR ALIGNING AN OPTICAL DEVICE

TRANSMITTAL SHEET

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certified that this paper or papers, as described herein are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV 315613691 US, in an envelope addressed to:

Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 14th day of April 2004

By Kathleen L. Bockley

Kathleen L. Bockley

We are transmitting herewith the attached:

[XX]

Amendment

[] No additional claim fee required

[XX] The claim fee has been calculated as shown:

| | | CLAIMS | AS AMENI | DED | | | |
|------------------------------------|---------------------|-----------------|----------|--------------|--------------|---------|--------------|
| | (3) | (4) | (5) | SMALL ENTITY | | OTHER | |
| | REMAINING CLAIMS | HIGHEST PAID | EXTRA | RATE | ADD'L FEE | RATE | ADD'L FEE |
| TOTAL CLAIMS | 31 - | 33 = | 0 | X 9= | \$ | X 18= | \$0 |
| INDEPENDENT CLAIMS | 10 - | 9 = | 1 | X 43= | \$ | X 86 = | \$86 |
| () FIRST MULTIPLE DEPENDENT CLAIM | | | | + 145 = | \$ | + 290 = | \$0 |
| TOTAL | | | | \$ | | \$86 | |

| [XX] | A check in the amount of \$80.00 is enclosed. Itemization: |
|--------|---|
| | Fee Code 1201 \$86.00 |
| | Fee Code \$ |
| | Fee Code \$ |
| [] | Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established. |
| [] | Other: |
| [XX] | Return Receipt Postcard (MPEP 503). |
| [XXXX] | Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413. |
| | |

David M. Crompton, Reg. No. \$6,772

David M. Crompton CROMPTON, SEAGER & TUFTE, LLC 1221 Nicollet Avenue, Suite 800 Minneapolis, MN 55403-2420

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AMENDMENT

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By Kathley & Bockley

Kathleen L. Boekley

Dear Sir:

This paper is in response to the Office Action mailed January 15, 2004, with a shortened statutory period set to expire on April 15, 2004. This paper is filed within the set period for response such that no extension of time is necessary.

Please consider the following amendments and/or remarks:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 11 of this paper.

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